Insurance Policy No. ……..

CUSTOMER DUE DILIGENCE FORM
(Section 33 and following of the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010, as amended by the Criminal Justice Act 2013)

INFORMATION NOTICE PURSUANT TO the Data Protection Acts, 1988 & 2003
(hereinafter referred to as "DP Acts")

Dear Customer, the personal details to be provided in the present form are gathered by the abovementioned Company – as Data Controller – to comply with the provisions of the Criminal Justice (Money Laundering and Financing terrorism) Acts 2010 as amended by the Criminal Justice Act 2013 (hereafter referred to as CJA 2010) on the prevention of the use of the financial system for the purpose of money laundering and financing terrorism. Hence, providing the requested data is mandatory. Refusing to supply the requested information could result in the impossibility of carrying out the required operation . Processing of data will be carried out for the abovementioned purposes through electronic instruments solely by persons operating on behalf of the Company as officers or appointed persons, so as to ensure confidentiality . Data shall not be disclosed, but could be transmitted to competent Supervisory Authorities (i.e. Central Bank of Ireland, or the Supervisory Authorities of the insurance sector), as well as to Companies of UNIPOL Group (parent company, subsidiaries and associated companies including indirectly pursuant to legal provisions in force).

In order to ensure completeness of information, customers are kindly invited to view the information on the back of this form, in relation with the criminal sanctions.

SECTION A

Identification details of the Policyholder

Surname and Name:……..……..

Tax Identification Number:……..
(*Please attach copy of the Tax Identification Number)*

Place and date of birth:……

Residence address:……

City/Town:…… County:…… Postal Code:……

Domicile address (if different from residence):

……..…

……

City/Town:…… County:…… Postal Code:……

Country:……

ID type:…… Number:……

Issued by: :…… on……

(*Please attach a legible two-sided copy (photo included) of a valid ID)*

Identification details of the Beneficial Owner (if different from the Policyholder)

Surname and Name:……..……..

Tax Identification Number:……..
(*Please attach a legible two-sided copy of the Tax ID Number)*

Place and date of birth:…….……

Residence address:……..……..

City/Town:…… County:……..….. Postal Code:……

Domicile address (if different from residence):

……..…

……

City/Town:…… County:……Postal Code:……..

Country:……

ID card: Type:……..Number:……

Issued by: :…… on……
(*Please attach a legible two-sided copy (photo included) of a valid ID)*

Politically exposed person YES ☐ NO ☐

SECTION B

Type of operation

( ) Transfer of the policy to the Company following closing of a Banco Popolare bank account
( ) Surrender
( ) Change of policy owner
( ) Constitution of pledge/lien
( ) Execution of policy
( ) Beneficiary in case of death (or guardian)
( ) Maturity

Beneficiaries

Main purpose of the operation – part 1

( ) Family/Personal needs
( ) Business/Commercial/Professional needs
( ) Beneficiary in case of death
( ) Other (please specify)

Main purpose of the operation - part 2

( ) Protection
( ) Savings
( ) Investment

SECTION C

More information about the Policyholder

( ) Employee or similar
( ) Manager/Executive
( ) Entrepreneur
( ) Self-employed professional
( ) Self-employed
( ) Unemployed, housewife, student
( ) Pensioner

Personal/Family Income bracket (€)

( ) From 0 to 20,000
( ) From 20,001 to 50,000
( ) From 50,001 to 100,000
( ) From 100,001 to 200,000
( ) From 200,001 to 500,000
( ) From 500,001 to 1,000,000

( ) Over 1,000,000

I hereby declare that

( ) I am a politically exposed person or an immediate family member of a politically exposed person

( ) I am not a politically exposed person or an immediate family member of a politically exposed person

I hereby declare, being fully aware of the criminal liability I may incur in the case of false declarations, that the information given above is true and complete to the best of my knowledge, also with reference to the beneficial owner of the operation.

Place: ……. Date ……..

Signature of the policyholder / legal or delegated representative

_____

Criminal Penalties

Pursuant to the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010, as amended by the Criminal Justice Act 2013, a person who commits an offence of money laundering is liable:

- On summary conviction, to a fine not exceeding €5,000 or imprisonment for a term not exceeding 12 months (or both)

or

- On conviction on indictment to a fine or imprisonment for a term not exceeding 14 years (or both)

Beneficial Owner

Beneficial owner refers to any natural person on whose behalf a transaction or activity is being conducted, or, in case of a corporate body, any individual who ultimately owns or controls a corporate body, or benefits from it according to the following criteria.

In relation to a body corporate, the beneficial owner is any natural person who:

a) in the case of a body corporate other than a company having securities listed on a regulated market, ultimately owns or controls, whether through direct or indirect ownership or control (including through bearer shareholdings), more than 25 per cent of the shares or voting rights in that corporate body, or
b) otherwise exercises control over the management of the corporate body.

In relation to a trust, the beneficial owner is any of the following:

a) any individual who is entitled to a vested interest in possession, remainder or reversion, whether or not the interest is defeasible, in at least 25 per cent of the capital of the trust property;
b) in the case of a trust other than one that is set up or operates entirely for the benefit of individuals referred above, the class of individuals in whose main interest the trust is set up or operates;
c) any individual who has control over the trust.
d)

In relation to a partnership, beneficial owner is any individual who:

a) ultimately is entitled to or controls, whether the entitlement or control is direct or indirect, more than a 25 per cent share of the capital or profits of the partnership or more than 25 per cent of the voting rights in the partnership, or
b) otherwise exercises control over the management of the partnership.

Control in relation to a trust means a power (whether exercisable alone, jointly with another person or with the consent of another person) under the trust instrument concerned or by law to do any of the following: a) dispose of, advance, lend, invest, pay or apply trust property; b) vary the trust; c) add or remove a

person as a beneficiary or to or from a class of beneficiaries; d) appoint or remove trustees; e) direct, withhold consent to or veto the exercise of any power referred to in paragraphs a) to d).

Politically Exposed Persons

Politically Exposed Person (PEP) means an individual, residing in a place outside the State, who is, or has at any time in the preceding 12 months, been entrusted with a prominent public function, including their immediate family members or persons known to be close associates of a politically exposed person.

1. Individuals who are or who have been entrusted with a prominent public function, including those in an institution of the European Communities or an international body, include any of the following:
   a) a head of state, head of government, government minister or deputy or assistant government minister;
   b) a member of a parliament;
   c) a member of a supreme court, constitutional court or other high level judicial body whose decisions, other than in exceptional circumstances, are not subject to further appeal;
   d) a member of a court of auditors or of the board of a central bank;
   e) an ambassador, chargé d'affaires or high-ranking officer in the armed forces.
   f) a member of the administrative, management or supervisory body of a State-owned enterprise.

None of the public functions referred to above shall be understood to include any middle-ranking or more junior officials.

2. Immediate family member of a politically exposed person includes any of the following persons:
   (a) any spouse of the politically exposed person;
   (b) any person who is considered to be equivalent to a spouse of the politically exposed person under the national or other law of the place where the person or politically exposed person resides;
   (c) any child of the politically exposed person;
   (d) any spouse of a child of the politically exposed person;
   (e) any person considered to be equivalent to a spouse of a child of the politically exposed person under the national or other law of the place where the person or child resides;
   (f) any parent of the politically exposed person;
   (g) any other family member of the politically exposed person who is of a prescribed class.

3. Close associate of a politically exposed person includes any of the following persons:
   a) any individual who has joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with the politically exposed person;
   b) any individual who has sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the politically exposed person.